

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: U.S. Filing of International Application PCT/DE00/01778

Applicants: Kurz

Examiner: N/A

Serial No.: Unassigned

Group Art Unit: N/A

Filed: October 29, 2001

Docket: 1093-38 PCT/US

For: OPTICAL APPARATUS

Dated: October 29, 2001

Commissioner for Patents  
Washington, D.C. 20231  
Att: Box PCT

*I hereby certify this correspondence is being deposited  
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addressed to: Commissioner for Patents, Washington,  
D.C. 20231, Att: Box PCT on October 29, 2001.*

*Signature: Melinda A. Kerrigan*

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.  
§1.56, Applicants submit herewith the following Information Disclosure Statement in  
accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**UNITED STATES PATENTS**

<b><u>PATENTEE</u></b>	<b><u>PATENT NO.</u></b>	<b><u>ISSUE DATE</u></b>
Noguchi	4,054,356	October 18, 1977
Baker	4,456,783	June 26, 1984
Whitaker	4,723,826	February 9, 1988
Johnson	4,765,726	August 23, 1988
Riccobono et al.	5,491,569	February 13, 1996
Whitehead	6,025,583	February 15, 2000

Application Serial No.  
Inventors:

Docket No. 1093-38 PCT/US

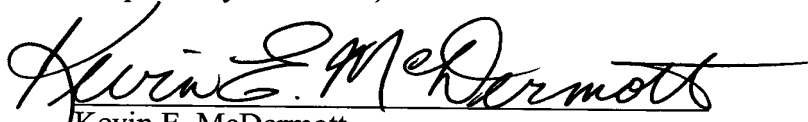
FOREIGN PUBLICATIONS

<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
Germany	DE 197 16 418 A 1	September 17, 1998
Germany	DE 30 12 500 A 1	October 8, 1981
Germany	DE 3141 789 C 2	May 27, 1982

The above-referenced documents are listed on PTO Form 1449. Copies of the cited documents are enclosed herewith to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
1093-38 PCT/USSERIAL NO.  
Unassigned  
107031006INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
KurzCONFIRMATION NO.  
Unassigned

(Use several sheets if necessary)

FILING DATE  
October 29, 2001GROUP  
N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		4,054,356	10/18/77	Noguchi			
		4,456,783	06/26/84	Baker			
		4,723,826	02/09/88	Whitaker			
		4,765,726	08/23/88	Johnson			
		5,491,569	02/13/96	Riccobono et al.			
		6,025,583	02/15/00	Whitehead			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		DE 197 16 418 A1	09/17/98	Germany				
		DE 30 12 500 A1	10/08/81	Germany				
		DE 3141 789 C2	05/27/82	Germany				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.